



JPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Huw David Summers

Group Art Unit:

Serial No.: 10/532,363

Examiner:

Filed: April 20, 2005

Attorney Docket: UDL-128

Title: Semiconductor Optical Devices

I hereby certify that this correspondence is being deposited on this day with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

David P. Gordon 5-8-06
David P. Gordon Date

Honorable Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313

SUBMITTAL OF DOCUMENTS PURSUANT TO DUTY OF DISCLOSURE

Pursuant to applicant's duty of disclosure under 37 CFR Sections 1.56 and 1.97, enclosed is a completed Information Disclosure Statement (IDS) which lists the documents relating to the above-referenced patent application. Since this document submittal is being presented prior to the first examination on the merits, no fee is due herewith.

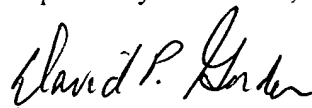
PCT Publication No. WO 01/26102 discloses Monolithic VCSEL-Based Optical Pickup and Servo Control Device

Japanese Publication No. 57173984 discloses Light Emitting and Detecting Element

The listed documents are brought to the Examiner's attention because they are known to the applicant and/or the applicant's attorney and may be considered by the Examiner to be material to his/her examination. This listing should not be construed as representation that a search has been made or that no better art exists. No inference should be made that the documents are in fact

material merely because they are referenced herein. Moreover, no representation is made that the brief descriptions of the references herein necessarily describe the most material aspects of the references. Further, by this listing, the applicant is not making any admission regarding the relative dates of the invention and listed disclosures.

Respectfully submitted,

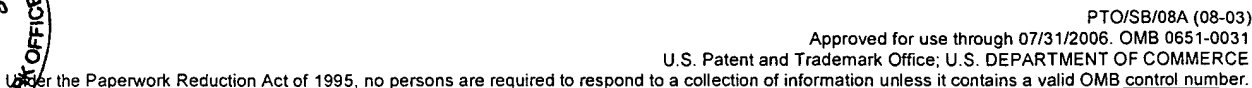
A handwritten signature in black ink, appearing to read "David P. Gordon". The signature is fluid and cursive, with the first name "David" and last name "Gordon" clearly distinguishable.

David P. Gordon

Reg. #29,996

Attorney for Applicant(s)

Gordon & Jacobson, P.C.
60 Long Ridge Road
Suite 407
Stamford, CT 06902
(203) 323-1800



Complete if Known

(Use as many sheets as necessary)

Sheet 1

of

Application Number	10/532,363
Filing Date	April 20, 2005
First Named Inventor	Huw David Summers
Art Unit	
Examiner Name	
Attorney Docket Number	UDL-128

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
		WO 01/26102	04/12/2001	Hang		
		57173984	10/26/1982	Nonaka Masahiro		

Date
Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.